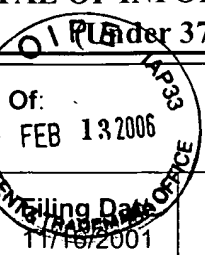


62-14.06

12133 #

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.
15689.92



Under 37 CFR 197(b) or 1.97(c)

In Re Application Of:

FEB 13 2006

Yukihiko Okumura

Application No.

09/998,601

Filing Date

11/16/2001

Examiner

Warner Wong

Customer No

022913

Group Art Unit

2133

Confirmation No.

6209

Title:

DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Docket No.

15689.92

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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(Date)

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*This certificate may only be used if paying by deposit account.



Signature

Dated: February 13, 2006

ADRIAN J. LEE

Reg. No.: 42,785

Attorney for Applicant

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yukihiro Okumura

Docket No.
15689.92Application No.
09/998,601Filing Date
11/16/2001

FEB 13 2006

Examiner
Warner WongCustomer No
022913Group Art Unit
2133**Invention:**

DATA TRANSMISSION METHOD AND DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER

I hereby certify that the following correspondence:

Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (3 pages);
Form PTO-1449 Listing of References (2 page);
PTO 2038 Credit Card form for \$180.00
Legible Copy of References;
Certificate of Express Mail (EV 773481404 US);
Acknowledgment Postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 13, 2006
(Date)

ADRIAN J. LEE

(Typed or Printed Name of Person Mailing Correspondence)
(Signature of Person Mailing Correspondence)

EV 773481404 US

*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



EXPRESS MAIL NO. 773481404 US

PATENT APPLICATION
Docket No: 15689.92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)	
	Yukihiko Okumura)	
Serial No.:	09/998,601)	Art Unit
)	2133
Filed:	November 16, 2001)	
For:	DATA TRANSMISSION METHOD, DATA TRANSMISSION SYSTEM, TRANSMITTER AND RECEIVER)	
Customer No.:	022913)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 11-308660 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-262015 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-065655 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 07-336364 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2001-007784 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 2002-158642 is provided in the English abstract of that publication.

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED February 13, 2006.

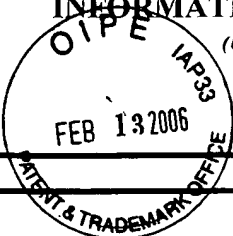
Respectfully submitted,

A handwritten signature in black ink, appearing to be 'AJL' with a stylized flourish.

ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000005039V001

<p style="text-align: center; font-weight: bold; font-size: 1.2em;">INFORMATION DISCLOSURE CITATION</p> <p style="text-align: center; font-size: 0.8em;">(Use several sheets if necessary)</p>	<p style="font-size: 0.8em;">Docket number (Optional)</p> <p style="text-align: center; font-weight: bold;">15689.92</p>	<p style="font-size: 0.8em;">Application Number</p> <p style="text-align: center; font-weight: bold;">09/998,601</p>
	<p style="font-size: 0.8em;">Applicant(s)</p> <p style="text-align: center; font-weight: bold;">Yukihiko Okumura</p>	
	<p style="font-size: 0.8em;">Filing Date</p> <p style="text-align: center; font-weight: bold;">November 16, 2001</p>	<p style="font-size: 0.8em;">Group Art Unit</p> <p style="text-align: center; font-weight: bold;">2133</p>



U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	11-308660	11/5/1999	Japan	H04Q7	36	X	
	2	10-262015	09/29/1998	Japan	H04J3	00	X	
	3	10-065655	06/03/1998	Japan	H04L1	18	X	
	4	07-336364	12/22/1995	Japan	H04L12	28	X	
	5	2001-007784	1/12/2001	Japan	H04L1	00	X	
	6	2002-158642	5/31/2002	Japan	H04L1	00	X	

<p>EXAMINER</p>	<p>DATE CONSIDERED</p>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

